



IN THE UNITED STATES PATENT & TRADEMARKS OFFICE

ART UNIT:

EXAMINER:

APPLICANT: Skrivan et al.

SERIAL NO.: 10/635,102

FILED: 8/6/2003

CONFRM. NO.:

FOR: COMPETITION/ LEASHLESS ICE AXE WITH
ADJUSTABLE GRIP

CERTIFICATE OF MAILING
UNDER 37 C.F.R. § 1.8

DATE OF DEPOSIT: 21 Oct 2003

I hereby certify that this paper or fee (along with any paper or fee referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Garron Hobson

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/Madam:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to:

☒ 37 C.F.R. § 1.97 (b)(1) or (3), within three months of the filing date of the application, or before a first office action on the merits, whichever occurs last; -

☐ 37 C.F.R. § 1.97 (c), after a first office action on the merits, but before a Final Office Action or a Notice of Allowance, whichever occurs first, and is accompanied by either 1) a statement in accordance with 37 C.F.R. § 1.97(e), or 2) the fee set forth in § 1.17(p); or

☐ 37 C.F.R. § 1.97 (d), after a Final Office Action or Notice of Allowance, whichever occurs first, but on or before payment of the issue fee, and is accompanied by both 1) a statement in accordance with 37 C.F.R. § 1.97(e), and 2) the fee set forth in § 1.17(p).

While no representation is made that any of these references may be "prior art" within the meaning of that term in accordance with 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

For all listed references that are not either in the English language, or accompanied by a translation into English, a concise explanation of relevance as required under 37 C.F.R. § 1.98(a)(3) is enclosed attached to each.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

Dated this 21 day of Oct, 2003.

Respectfully submitted,



Garron M. Hobson
Attorney for Applicant
Registration No. 41,073

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PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
21057.NPSERIAL NO.
10/635,102

LIST OF PRIOR ART CITED BY APPLICANT

APPLICANT Skrivan et al.

FILING DATE
08/06/03GROUP

U.S. PATENT DOCUMENTS

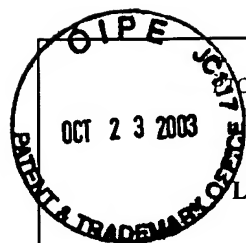
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	D 203,575	01-1966	Dobbs			
	A2	D 124,300	12-1940	Morris			
	A3	263,104	08/1882	Bickel			
	A4	802,937	10-1905	Mccune et al.			
	A5	982,564	01-1911	Baker			
	A6	1,054,823	03-1913	Burks			
	A7	1,177,472	03-1916	Bartlett			
	A8	1,259,161	03-1918	Suer			
	A9	1,309,180	07-1919	Carr			
	A10	1,388,872	08-1921	McElhaney			
	A11	2,738,815	03-1956	Hoeldtke			
	A12	2,798,292	07-1957	Bishaf			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	A13	5494	03-1907	United Kingdom			
	A14	9987	11-1911	United Kingdom			
	A15						
	A16						
	A17						

OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)

	A18	
	A19	
	A20	
EXAMINER	DATE CONSIDERED	
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.		



PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
21057.NPSERIAL NO.
10/635,102

APPLICANT Skrivan et al.

FILING DATE
08/06/03GROUP

LIST OF PRIOR ART CITED BY APPLICANT

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A21	2,804,109	08-1957	Fatica			
	A22	3,114,973	12-1963	Kennedy			
	A23	3,252,489	05-1966	Huston et al			
	A24	3,559,340	02-1971	Good			
	A25	3,712,659	1-1973	Kneissl			
	A26	3,735,434	5-1973	Penberthy			
	A27	4,023,606	5-1977	Kneissl			
	A28	5,210,925	05-1993	Morgulis			
	A29	5,347,718	09-1994	Turner			
	A30	5,425,176	06-1995	Brainerd et al.			
	A31	5,937,466	08-1999	Brainerd et al.			
	A32	5,996,235	12-1999	Brainerd			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	A33						
	A34						
	A35						
	A36						
	A37						

OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)

	A38	
	A39	
	A40	
EXAMINER		DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.		



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LITIGATION, DISPUTE RESOLUTION
AND RELATED MATTERS

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October 20, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: U.S. Patent Application No. 10/635,102 filed 08-06-03
Applicant: Skrivan et al.
Title: **COMPETITION/ LEASHLESS ICE AXE WITH ADJUSTABLE GRIP**
Group Art Unit:
Attorney Docket No. 21057.NP

Sir/Madam:

Transmitted herewith for filing and pursuant to 37 C.F.R. §§ 1.56 and 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- ☒ Form PTO-1449 list of 26 references submitted for consideration.
- ☒ Legible copies of the listed references or their relevant portions.
- ☐ All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- ☐ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
- ☐ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
- ☐ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

- ☐ Statement under 37 C.F.R. § 1.97(e)(1) or (2).
- ☐ Check No. _____ in the amount of \$0.00 (amount in § 1.17(p)) constituting the submission fee set forth in 37 C.F.R. § 1.17(p).

In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Statement meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 20-0100 of the undersigned.

Respectfully submitted,



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